Filing ID #10004511

Financial Disclosure Report

Clerk of the House of Representatives • Legislative Resource Center • B-106 Cannon Building • Washington, DC 20515

Filer Information

Name: Hon. Spencer Bachus
Status: Member
State/District: AL06

Filing Information

Filing Type: Amendment Report
Filing Year: 2013
Filing Date: 10/17/2014

Schedule A: Assets and "Unearned" Income

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
AM CENTURY GROWTH (IRA)	SP	$50,001 - $100,000	Tax-Deferred	None	✓
AM CENTURY INT'L BOND (IRA)	SP	$1,001 - $15,000	Tax-Deferred	None	✓
ARTISIAN MIDCAP VALUE INV (IRA)	SP	$1,001 - $15,000	Tax-Deferred	None	✓
BARON GROWTH RETAIL FD (IRA)	SP	$1,001 - $15,000	Tax-Deferred	None	✓
BLACKROCK INFLATN PROT BOND (IRA)	SP	None	Tax-Deferred	None	✓
BLACKROCK LOW DUR BD NV A (IRA)	SP	$15,001 - $50,000	Tax-Deferred	None	✓
DREYFUS EMERGING MKTS (IRA)	SP	$15,001 - $50,000	Tax-Deferred	None	✓
E V INCOME FUND OF BOSTON (IRA)	SP	$1,001 - $15,000	Tax-Deferred	None	✓
GLENMEADE PHILADELPHIA INT'L (IRA)	SP	$15,001 - $50,000	Tax-Deferred	None	✓

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
IVY MID CAP GROWTH A (IRA)	SP	$1,001 - $15,000	Tax-Deferred	None	☑
LOOMIS SAYLES SM CAP VAL INST (IRA)	SP	$1,001 - $15,000	Tax-Deferred	None	☑
PACIFIC LIFE ANNUNITY	SP	$250,001 - $500,000	Interest, Tax-Deferred	$15,001 - $50,000	☐
PIMCO EMERGING MKTS BOND A (IRA)	SP	$1,001 - $15,000	Tax-Deferred	None	☑
PIMCO TOTAL RETURN D (IRA)	SP	$1,001 - $15,000	Tax-Deferred	None	☑
SOUTHWOOD PROPERTIES LOCATION: BIRMINGHAM/JEFFERSON, AL, US DESCRIPTION: COMMERCIAL RE NTAL BLDG.	SP	$100,001 - $250,000	Rent	$15,001 - $50,000	☐
T ROWE PRICE EQI-INC ADV (IRA)	SP	$15,001 - $50,000	Tax-Deferred	None	☑
WESTERN ASSET MM	SP	$1,001 - $15,000	Interest	$1 - $200	☐

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
AM CENTURY GROWTH (IRA)	SP	03/13/2013	S (partial)	$1,001 - $15,000	☐
AM CENTURY GROWTH (IRA)	SP	06/13/2013	S (partial)	$1,001 - $15,000	☐
AM CENTURY GROWTH (IRA)	SP	09/18/2013	S (partial)	$1,001 - $15,000	☐
AM CENTURY GROWTH (IRA)	SP	11/14/2013	P	$1,001 - $15,000	
AM CENTURY GROWTH (IRA)	SP	12/17/2013	P	$1,001 - $15,000	
ARTISIAN MIDCAP VALUE INV (IRA)	SP	11/14/2013	P	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
BARON GROWTH RETAIL FD (IRA)	SP	03/13/2013	P	$1,001 - $15,000	
BARON GROWTH RETAIL FD (IRA)	SP	11/14/2013	S (partial)	$1,001 - $15,000	☐
BLACKROCK INFLATN PROT BOND (IRA)	SP	03/13/2013	S	$1,001 - $15,000	☐
BLACKROCK LOW DUR BD NV A (IRA)	SP	03/13/2013	P	$15,001 - $50,000	
BLACKROCK LOW DUR BD NV A (IRA)	SP	09/18/2013	P	$1,001 - $15,000	
DREYFUS EMERGING MKTS (IRA)	SP	03/13/2013	S (partial)	$1,001 - $15,000	☐
DREYFUS EMERGING MKTS (IRA)	SP	06/13/2013	P	$1,001 - $15,000	
DREYFUS EMERGING MKTS (IRA)	SP	11/14/2013	S (partial)	$1,001 - $15,000	☐
E V INCOME FUND OF BOSTON (IRA)	SP	03/13/2013	S (partial)	$15,001 - $50,000	☐
E V INCOME FUND OF BOSTON (IRA)	SP	11/14/2013	P	$1,001 - $15,000	
GLENMEADE PHILADELPHIA INT'L (IRA)	SP	03/13/2013	P	$1,001 - $15,000	
GLENMEADE PHILADELPHIA INT'L (IRA)	SP	09/18/2013	P	$1,001 - $15,000	
GLENMEADE PHILADELPHIA INT'L (IRA)	SP	11/14/2013	P	$1,001 - $15,000	
LOOMIS SAYLES SM CAP VAL INST (IRA)	SP	03/13/2013	P	$1,001 - $15,000	
LOOMIS SAYLES SM CAP VAL INST (IRA)	SP	11/14/2013	P	$1,001 - $15,000	
LOOMIS SAYLES SM CAP VAL INST (IRA)	SP	12/23/2013	P	$1,001 - $15,000	
PIMCO EMERGING MKTS BOND A (IRA)	SP	03/13/2013	S (partial)	$15,001 - $50,000	☐
PIMCO EMERGING MKTS BOND A (IRA)	SP	11/14/2013	S	$1,001 - $15,000	☐

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
			(partial)		
PIMCO TOTAL RETURN D (IRA)	SP	03/13/2013	P	$1,001 - $15,000	
PIMCO TOTAL RETURN D (IRA)	SP	11/14/2013	S (partial)	$1,001 - $15,000	☐
PIMCO TOTAL RETURN D (IRA)	SP	09/18/2013	P	$1,001 - $15,000	
T ROWE PRICE EQI-INC ADV (IRA)	SP	03/13/2013	S (partial)	$1,001 - $15,000	☐
T ROWE PRICE EQI-INC ADV (IRA)	SP	06/13/2013	S (partial)	$1,001 - $15,000	☐
T ROWE PRICE EQI-INC ADV (IRA)	SP	09/18/2013	P	$1,001 - $15,000	
T ROWE PRICE EQI-INC ADV (IRA)	SP	11/14/2013	P	$1,001 - $15,000	
T ROWE PRICE EQI-INC ADV (IRA)	SP	12/12/2013	P	$1,001 - $15,000	

Schedule C: Earned Income

None disclosed.

Schedule D: Liabilities

Owner	Creditor	Date Incurred	Type	Amount of Liability
	JP MORGAN CHASE BANK	APR, 2012	MORTGAGE- 337 MARYLAND AVE. N.E. WASHINGTON,DC	$250,001 - $500,000
	COMPASS BANK, BIRMINGHAM,AL	MAY,2008	PERSONAL LOAN	$100,001 - $250,000

Schedule E: Positions

None disclosed.

Schedule F: Agreements

None disclosed.

Schedule G: Gifts

None disclosed.

Schedule H: Travel Payments and Reimbursements

Trip Details				Inclusions		
Source	**Start Date**	**End Date**	**Itinerary**	**Lodging?**	**Food?**	**Family?**
THE GERMAN MARSHALL FUND OF THE U.S., ROBERT BOSCH STIFTUNG	02/15/2013	02/20/2013	WASHINGTON, DC - ELMAU, GERMANY - BERLIN, GERMANY - FRANKFURT, GERMANY - BIRMINGHAM, AL	☑	☑	☑
FRANKLIN CENTER AND THE RIPON SOCIETY	08/10/2013	08/18/2013	WASHINGTON, DC - DUBLIN, IRELAND - WASHINGTON, DC	☑	☑	☑

Schedule I: Payments Made to Charity in Lieu of Honoraria

None disclosed.

Exclusions of Spouse, Dependent, or Trust Information

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

○ Yes ◉ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

○ Yes ◉ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

○ Yes ◉ No

Comments

Certification and Signature

☑ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Spencer Bachus , 10/17/2014